PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

To: KENTON R. MULLINS STOUT, UXA, BUYAN & MULLINS, LLP 4 VENTURE, SUITE 300 IRVINE, CA 92618	NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION	
(PCT Rule 44.1)		
	Date of mailing (day month year) 19 AUG 2009	
Applicant's or agent's file reference MB8134PCT FOR FURTHER ACTION See paragraphs 1 and 4 below		
International application No.	International filing date	
PCT/US 09/49728	(day month year) 06 July 2009 (06.07.2009)	
Applicant MAST BIOSURGERY AG Artis	nus case due 11/19/09	
CHI	Demand Resp. to Written Opinion	
The applicant is hereby notified that the international s Authority have been established and are transmitted here	earch report and the written opinion of the International Searching rewith.	
Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the claims of the international application (see Rule 46): When? The time limit for filing such amendments is normally two months from the date of transmittal of the international search report.		
Where? Directly to the International Bureau of WI 1211 Geneva 20, Switzerland, Facsimile N		
For more detailed instructions, see the notes on the	· · · · · · · · · · · · · · · · · · ·	
2. The applicant is hereby notified that no international Article 17(2)(a) to that effect and the written opinion o	search report will be established and that the declaration under f the International Searching Authority are transmitted herewith.	
3. With regard to the protest against payment of (an) ad	ditional fee(s) under Rule 40.2, the applicant is notified that:	
the protest together with the decision thereon h applicant's request to forward the texts of both t	has been transmitted to the International Bureau together with the he protest and the decision thereon to the designated Offices.	
no decision has been made yet on the protest; the	ne applicant will be notified as soon as a decision is made.	
4. Reminders		
International Bureau. If the applicant wishes to avoid or p	ity date, the international application will be published by the postpone publication, a notice of withdrawal of the international nal Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, ational publication.	
International Bureau. The International Bureau will send	the written opinion of the International Searching Authority to the a copy of such comments to all designated Offices unless an be established. These comments would also be made available to expriority date.	
Within 19 months from the priority date, but only in respect of some designated Offices, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later); otherwise, the applicant must, within 20 months from the priority date, perform the prescribed acts for entry into the national phase before those designated Offices.		
In respect of other designated Offices, the time limit of 30 months (or later) will apply even if no demand is filed within 19 months.		
See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the PCT Applicant's Guide, Volume II, National Chapters and the WIPO Internet site.		

Facsimile No. 571-273-3201

Name and mailing address of the ISA/US

Mail Stop PCT, Attn: ISA/US Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450

Authorized officer:

Lee W. Young

PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774

(See notes of accompanying

Form PCT/ISA/220 (January 2004)

PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference MB8134PCT	FOR FURTHER see Form PCT/ISA/220 ACTION as well as, where applicable, item 5 below.		
		O.Y	
	national application No. International filing date (day/month/year) (Earliest) Priority Date (day/month/		(Earliest) Priority Date (day/month/year) 06 July 2008 (06.07.2008)
PCT/US 09/49728	06 July 2009 (06.07.2009)		00 July 2008 (00.07.2008)
Applicant MAST BIOSURGERY AG	AR CHRISTING THE STANSON FRONT CORP. SPINISH AND OUR AFFICIANT REPORT LIBRARIES AND OUR AFFICIAL REPORT.		
according to Article 18. A copy is being This international search report consists	g transmitted to the International	Bureau.	authority and is transmitted to the applicant
1. Basis of the report			
a. With regard to the language, the			ISIS OI.
	lication in the language in which	it was med.	
a translation of the in a translation furnishe	ternational application into d for the purposes of international	al search (Ru	which is the language of les 12.3(a) and 23.1(b)).
	eport has been established takin this Authority under Rule 91 (R		nt the rectification of an obvious mistake
c. With regard to any nucleot	ide and/or amino acid sequence	disclosed in	the international application, see Box No. I.
2. Certain claims were found	d unsearchable (see Box No. II).		
3. Unity of invention is lacki	ng (see Box No. III).		
4. With regard to the title,			
the text is approved as subm	nitted by the applicant.		
the text has been established	d by this Authority to read as foll	ows:	
5. With regard to the abstract,			
the text is approved as subn	• • •		
the text has been established may, within one month from	d, according to Rule 38.2(b), by the the date of mailing of this intern	his Authority ational searcl	y as it appears in Box No. IV. The applicant h report, submit comments to this Authority.
6. With regard to the drawings,			
a. the figure of the drawings to be p		ure No	and the last a state that the state
as suggested by the ap	oplicant.	· · · · · · · · · · · · · · · · · · ·	
as selected by this Au	thority, because the applicant fai	ed to sugges	t a figure.
as selected by this Au	thority, because this figure better	characterize	s the invention.
b. none of the figures is to be	published with the abstract.		

Form PCT/ISA/210 (first sheet) (April 2007)

INTERNATIONAL SEARCH REPORT

International application No. PCT/US 09/49728

IPC(8) - USPC -	SSIFICATION OF SUBJECT MATTER A61F 13/00; A61K 9/70 (2009.01) 424/443-444			
	According to International Patent Classification (IPC) or to both national classification and IPC			
	DS SEARCHED	1		
USPC - 424	ocumentation searched (classification system followed by 1443-444	y classification symbols)	,	
	ion searched other than minimum documentation to the e /93.7, 422, 426; 128/898; 521/50 (see search terms be		fields searched	
PubWEST (I Search Tern	ata base consulted during the international search (name PGPB; USPT; EPAB; JPAB); Google; Google Scholar ns Used: resorbable thin mebrane, absorbable, bio-abs lactide, poly-lactide, polymer, co-polymer, micron, stitc	orbable, pericardial substitute, open heart s	urgery, pericardium,	
C. DOCU	MENTS CONSIDERED TO BE RELEVANT			
Category*	Citation of document, with indication, where a	appropriate, of the relevant passages	Relevant to claim No.	
Υ	US 2008/0063686 A1 (CALHOUN et al.) 13 March 20 [0011], [0028], [0042]-[0046], [0049]-[0050], [0056], [0049]-[0050]	08 (13.03.2008) para [0008]-[0009], 0058]; fig 1a, 2f; claim 1, 5, 21, 23, 33	1-15	
Υ	US 2005/0074495 A1 (SCHWARTZ et al.) 07 April 20 [0132], [0209]-[0210]	05 (07.04.2005) para [0039], [0108],	1-15	
Y	US 2004/0018175 A1 (DIMITRIJEVICH) 29 January 2004 (29.01.2004) para [0019], [0028], [0066], [0079], [0093], [0096]			
Furthe	r documents are listed in the continuation of Box C.			
	categories of cited documents:	"T" later document published after the interr	101	
"A" docume	nt defining the general state of the art which is not considered particular relevance		ation but cited to understand	
filing da		considered novel or cannot be conside		
cited to special i	nt which may throw doubts on priority claim(s) or which is establish the publication date of another citation or other reason (as specified)	"Y" document of particular relevance; the considered to involve an inventive s	claimed invention cannot be	
means	nt referring to an oral disclosure, use, exhibition or other	combined with one or more other such d being obvious to a person skilled in the	ocuments, such combination	
the prior	nt published prior to the international filing date but later than rity date claimed	The document member of the same patent is		
Date of the actual completion of the international search Date of mailing of the international search report A 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2		•		
	009 (10.08.2009)	19 AUG 2	UUJ	
	ailing address of the ISA/US F, Attn: ISA/US, Commissioner for Patents	Authorized officer: Lee W. Young		
P.O. Box 1450, Alexandria, Virginia 22313-1450		PCT Helpdesk: 571-272-4300		

PATENT COOPERATION TREATY From the INTERNATIONAL SEARCHING AUTHORITY KENTON R. MULLINS STOUT, UXA, BUYAN & MULLINS, LLP 4 VENTURE, SUITE 300 WRITTEN OPINION OF THE **IRVINE, CA 92618** INTERNATIONAL SEARCHING AUTHORITY (PCT Rule 43bis.1) Date of mailing 19 AUG 2009 (day/month/year) Applicant's or agent's file reference FOR FURTHER ACTION **MB8134PCT** See paragraph 2 below International filing date (day/month/year) International application No. Priority date (day/month/year) PCT/US 09/49728 06 July 2009 (06.07.2009) 06 July 2008 (06.07.2008) International Patent Classification (IPC) or both national classification and IPC IPC(8) - A61F 13/00; A61K 9/70 (2009.01) USPC - 424/443-444 Applicant MAST BIOSURGERY AG 1. This opinion contains indications relating to the following items: Box No. I Basis of the opinion Box No. II Priority Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability Box No. IV Lack of unity of invention Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability: citations and explanations supporting such statement Box No. VI Certain documents cited Box No. VII Certain defects in the international application Box No. VIII Certain observations on the international application 2. FURTHER ACTION If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1 bis(b) that written opinions of this International Searching Authority will not be so considered. If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later. For further options, see Form PCT/ISA/220.

Name and mailing address of the ISA/US
Mail Stop PCT, Attn: ISA/US
Commissioner for Patents
P.O. Box 1450, Alexandria, Virginia 22313-1450
Facsimile No. 571-273-3201

Date of completion of this opinion

10 August 2009 (10.08.2009)

Authorized officer:

Lee W. Young

PCT Helpdesk: 571-272-4300
PCT OSP: 571-272-7774

For further details, see notes to Form PCT/ISA/220.

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US 09/49728

Box	No. I	Basis of this opinion
1.	With r	egard to the language, this opinion has been established on the basis of:
	\times	the international application in the language in which it was filed.
		a translation of the international application into which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).
2.		This opinion has been established taking into account the rectification of an obvious mistake authorized by or notified to this Authority under Rule 91 (Rule 43bis.1(a))
3.		egard to any nucleotide and/or amino acid sequence disclosed in the international application, this opinion has been shed on the basis of:
	a. typ	e of material
		a sequence listing
		table(s) related to the sequence listing
	b. for	nat of material
		on paper
	F	in electronic form
	bosson.	
	c. tim	e of filing/furnishing
	Γ	contained in the international application as filed
		filed together with the international application in electronic form
		furnished subsequently to this Authority for the purposes of search
	<u> </u>	Tannaned subsequently to ans reducitly for the purposes of search
4.		In addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
-	A 3 3 4 4 1 - 1	nal comments:
5.	Additio	nai comments:

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US 09/49728

Box No. V Reasoned statement un citations and explanation		<i>bis</i> .1(a)(i) with regard to novelty, inventive step or industrial appling such statement	icability;
1. Statement			
Novelty (N)	Claima	1-15	YES
Novelty (N)	Claims Claims	none	YES NO
	Ciaiiiis		110
Inventive step (IS)	Claims	none	YES
- · · ·	Claims	1-15	NO
		4.45	
Industrial applicability (IA)	Claims	1-15	YES
	Claims	none	NO
DIMITRIJEVICH. Regarding claim 1, Calhoun teaches a me over a treatment site of tissue after a treat applying a resorbable thin membrane over treatment is performed through the resorb a resorbable membrane over a treatment in membrane form) before a treatment is to lubricate the tissue and surgical instrumduring surgery). Dimitrijevich teaches a mafter a first treatment is conducted onto the patch comprising an absorbable barrier, a resorbable membrane (para [0066] - The absorbed to work on cardiac vessels). It wapplying a resorbable membrane over a treatment performed through a resorbate trauma caused by an incision made through the formation of tissue adhesions both dur Regarding claim 2, Calhoun, Schwartz, and treatment comprises an incision made through the formation of the tissue (para [0019], [006] the art to combine the method taught by Corder to develop an improved method for a preventing the formation of tissue adhesion. Regarding claim 3, Calhoun, Schwartz, and (a) substantially-smooth on at least one significant in the formation of tissue adhesion. Regarding claim 3, Calhoun, Schwartz, and (a) substantially uniform in composition (page) a copolymer of lactides, and (c) a poly-lact (f) adapted to be resorbed within a period membrane (para [0049]; claim 33). Regarding claim 4, Calhoun, Schwartz, and comprising placing a resorbable membrane over a first in the stream and the composition of the proposition of the propositi	ethod comprise trent is condi- r a treatment is condi- r a treatment is condi- r a treatment is conditionable thin mer site of tissue conducted on the first treatment is second treatment site able membrangh tissue, whering surgery and Dimitrijevic comprises and Calhoun a smoreducing traumons during surmal Dimitrijevic on the conditional con	ch teach the method of claim 1. Calhoun and Schwartz do not teach who comprising both the resorbable membrane and a membrane of the tiss incision made through a layer comprising both a resorbable membranue" is the pericardium or epicardium). It would have been obvious to codified by Schwartz and Dimitrijevich, with the incision taught by Dimitrima caused by an incision made through a membrane of tissue and, the regery. Ch teach the method of claim 2. Calhoun teaches wherein the resorbation healing membrane (para [0008], [0050]; claim 1), which is:	ara [0008]) It teach the 32]) applying tion complex brane is used hesions e of tissue ti-adhesion ough the n patch is Calhoun plus hwartz with reducing If preventing herein the one and a one skilled in rijevich in ous, ble thin the thin therein the polymer, (b) thin otherein a d
by Calhoun, as modified by Schwartz and	Dimitrijevich,	It would have been obvious to one skilled in the art to combine the me with the second resorbable membrane taught by Dimitrijevich in order sue adhesions after surgery, wherein resorbable membranes are resorbable.	to develop
СО	ntinued in Su	upplemental Box	

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US 09/49728

Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of: Box No. V 2. Citations and explanations

Regarding claim 5, Calhoun, Schwartz, and Dimitrijevich teach the method of claim 1. Calhoun and Schwartz do not teach further comprising placing a thin anti-adhesion resorbable membrane over the resorbable thin membrane and over the treatment site following the treatment. Dimitrijevich teaches placing a second anti-adhesion resorbable membrane over a first resorbable membrane and over a treatment site following a treatment (para [0019], [0028], [0096] - The second "anti-adhesion resorbable membrane" is the second antiadhesion patch comprising an absorbable barrier and the first resorbable membrane is the anti-adhesion patch comprising an absorbable barrier). It would have been obvious to one skilled in the art to combine the method taught by Calhoun as modified by Schwartz and Dimitrijevich, with placing the anti-adhesion resorbable membrane over the resorbable membrane taught by Dimitrijevich in order to develop an improved method for preventing the formation of tissue adhesions during and after surgery, wherein thin resorbable membranes are resorbed at a safe, controlled, and effective rate.

Regarding claim 6, Calhoun, Schwartz, and Dimitrijevich teach the method of claim 1. Calhoun teaches wherein a thickness of the resorbable thin membrane is between about 100 and 200 microns (claim 5, 23).

Regarding claim 7. Calhoun, Schwartz, and Dimitrijevich teach the method of claim 1. Calhoun teaches wherein the resorbable thin membrane is a healing membrane provided in a sterile packaging (para [0058]).

Regarding claim 8, Calhoun, Schwartz, and Dimitrijevich teach the method of claim 7. Calhoun teaches wherein the step of placing the resorbable thin membrane in a patient is effective to attenuate tissue adhesion (para [0008]).

Regarding claim 9, Calhoun, Schwartz, and Dimitrijevich teach the method of claim 1. Calhoun teaches further comprising a step of attaching the resorbable thin membrane using stitches, wherein the attaching step comprises suturing through apertures on opposing edges of the resorbable thin membrane (para [0044]-[0045], [0056]; fig 2f). Calhoun and Schwartz do not teach an opening or gap of the resorbable membrane. Dimitrijevich teaches an opening or gap of a resorbable membrane (para [0066] - The "opening or gap" is the opening or gap that results from the surgeon cutting through the patch to gain access to the heart vessels under the patch). Calhoun, Schwartz, and Dimitrijevich do not teach lacing a suturing thread in a manner resembling an arrangement of a shoelace of a shoe, followed by pulling the suturing thread to close the opening or gap. It would have been obvious to one skilled in the art to combine the method taught by Calhoun, Schwartz, and Dimitrijevich with the opening or gap of the resorbable membrane taught by Dimitrijevich in order to develop an improved method for application in heart vessel repair wherein the trauma caused by an incision made through a membrane of tissue is reduced and, thus, the formation of tissue adhesions during surgery is reduced. It would have been obvious without undue experimentation to one skilled in the art to extend the step of attaching the resorbable membrane using stitches taught by Calhoun and extend the opening or gap taught by Dimitrijevich to include lacing a suturing thread in a manner resembling an arrangement of a shoelace of a shoe, followed by pulling the suturing thread to close the opening or gap in order to effectively secure the resorbable membrane to the tissue and, thus, prevent the formation of tissue adhesions during surgery and after surgery.

Regarding claim 10, Calhoun, Schwartz, and Dimitrijevich teach the method of claim 9. Calhoun and Schwartz do not expressly teach further comprising a step of attaching the resorbable thin membrane to a pericardial membrane using stitches. Calhoun teaches a step of attaching the resorbable membrane to muscular tissue using stitches (para [0045]-[0046] - The "stitches" are the sutures). Dimitrijevich teaches a step of attaching a resorbable membrane to a pericardial membrane using stitches (para [0019], [0028], [0079], [0096] - The "pericardial membrane" is the pericardium or epicardium, and the "stitches" are the sutures). It would have been obvious to one skilled in the art to combine the method taught by Calhoun, as modified by Schwartz and Dimitrijevich, including the step of attaching the resorbable membrane using stitches taught by Calhoun, with attaching the resorbable membrane to the pericardial membrane using stitches taught by Dimitrijevich in order to develop an improved method for preventing the formation of tissue adhesion during and after open heart surgery.

Regarding claim 11, Calhoun, Schwartz, and Dimitrijevich teach the method of claim 10. Calhoun teaches wherein the opposing edges have greater thicknesses than other regions of the resorbable thin membrane (para [0042]-[0043]; fig 2f).

Regarding claim 12, Calhoun, Schwartz, and Dimitrijevich teach the method of claim 11 but do not expressly teach wherein the attaching step comprises heat bonding the resorbable thin membrane to the pericardial membrane. Calhoun teaches heat bonding the resorbable thin membrane to muscular tissue (para [0045]-[0046]). Dimitrijevich teaches attaching a resorbable mebrane to the pericardial membrane (para [0019], [0028], [0079], [0096]). It would have been obvious without undue experimentation to one skilled in the art to extend the heat bonding attaching step taught by Calhoun and extend attaching a resorbable membrane to the pericardial mebrane taught by Dimitrijevich

to include neating bonding the resorbable thin membrane to the pericardial membrane in order to develop an improved method, for application in open heart surgery, that reduces the formation of tissue adhesions during and after surgery.
continued in next Supplemental Box
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Form PCT/ISA/237 (Supplemental Box) (April 2007)

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WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US 09/49728

Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of: Prior Supplemental Box:

Regarding claim 13, Calhoun, Schwartz, and Dimitrijevich teach the method of claim 1. Calhoun teaches wherein the method further comprises a step of attaching the resorbable thin membrane using stitches, the attaching step comprising suturing through opposing edges of the layer comprising both a resorbable membrane and tissue (para [0044]-[0045], [0056]; fig 2f). Calhoun and Schwartz do not teach: wherein the treatment comprises an incision made through a layer comprising both the resorbable membrane and into the tissue; and the opening or gap of the layer. Dimitrijevich teaches a treatment comprising an incision made through a layer comprising both a resorbable membrane and tissue (para [0019], [0066] - The "tissue" is the pericardium or epicardium) and teaches an opening or gap of the layer (para [0066] - The "opening or gap" is the opening or gap that results from the surgeon cutting through the patch to gain access to the heart vessels under the patch). Calhoun, Schwartz, and Dimitrijevich do not teach lacing a suturing thread through opposing edges of an opening or gap of the layer in a manner resembling an arrangement of a shoelace of a shoe, followed by pulling the suturing thread to close the opening or gap. It would have been obvious to one skilled in the art to combine the method taught by Calhoun, as modified by Schwartz and Dimitrijevich, with the incision and opening or gap taught by the Dimitrijevich, in order to develop an improved method, for application in open heart surgery, wherein the trauma caused by an incision made through a membrane of tissue is reduced and, thus, the application in open heart surgery, wherein the trauma caused by an incision made through a memorate of tissue is reduced and, thus, the formation of tissue adhesions during surgery is reduced. It would have been obvious without undue experimentation to one skilled in the art to extend the attaching step taught by Calhoun and the opening or gap taught by Dimitrijevich to include lacing a suturing thread in a manner resembling an arrangement of a shoelace o a shoe, followed by pulling the suturing thread to close the opening or gap in order to effectively secure the resorbable membrane to the tissue and, thus, prevent the formation of tissue adhesions during surgery and after

Regarding claim 14, Calhoun, Schwartz, and Dimitrijevich teach the method of claim 13. Calhoun teaches wherein the opposing edges have greater thicknesses than other regions of the resorbable thin membrane (para [0042]-[0043]; Fig 2f).

Regarding claim 15, Calhoun, Schwartz, and Dimitrijevich teach the method of claim 13 but do not expressly teach wherein the attaching step comprises heat bonding the resorbable thin membrane to a pericardial membrane. Calhoun teaches heat bonding the resorbable thin membrane to muscular tissue (para [0045]-[0046]). Dimitrijevich teaches attaching a resorbable mebrane to a pericardial membrane (para

[0019], [0028], [0079], [0096] - The "pericardial membrane" is the pericardium or epicardium). It would have been obvious without undue experimentation to one skilled in the art to extend the heat bonding attaching step taught by Calhoun and extend attaching a resorbable membrane to a pericardial mebrane taught by Dimitrijevich to include heating bonding the resorbable thin membrane to a pericardial membrane in order to develop an improved method, for application in heart vessel repair, that reduces the formation of tissue adhesions during and after surgery.
Claims 1-15 have industrial applicability as defined by PCT Article 33(4) because the subject matter can be made or used by industry.
DOT/IS A 2027 (Superlamonated Dou) VA weil 2007)

NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under Article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the *PCT Applicant's Guide*, a publication of WIPO.

In these Notes, "Article," "Rule" and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report and the written opinion of the International Searching Authority, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only (see *PCT Applicant's Guide*, Volume I/A, Annexes B1 and B2).

The attention of the applicant is drawn to the fact that amendments to the claims under Article 19 are not allowed where the International Searching Authority has declared, under Article 17(2), that no international search report would be established (see PCT Applicant's Guide, Volume I/A, paragraph 296).

What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Preliminary Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

When? Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

How? Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

SEQUENCE LISTINGS AND TABLES RELATED THERETO IN INTERNATIONAL APPLICATIONS FILED IN THE U.S. RECEIVING OFFICE

The Administrative Instructions (AIs) under the Patent Cooperation Treaty (PCT), in force as of July 1, 2009, contain important changes relating to the manner of filing, and applicable fees for, sequence listings and/or tables related thereto (sequence-related tables) in international applications. The complete text may be accessed at http://www.wipo.int/pct/en/texts/index.htm.

Effective July 1, 2009, Part 8 and Annex C-bis will no longer form part of the AIs. Part 8 was introduced in 2001 as a temporary solution to problems arising from the filing of very large sequence listings on paper and provided for a sequence listing forming part of the international application to be filed in electronic form on physical medium (e.g., CD), together with the remainder of the application on paper. In 2002, Part 8 was expanded to include sequence-related tables and Annex C-bis was added to provide technical requirements. All applicants may now file complete international applications in electronic form, eliminating the need for these temporary provisions.

I. AIS PART 8 AND ANNEX C-BIS DELETED AS OF JULY 1, 2009

- A) Sequence-related tables cannot be filed as a separate part of the description or in text format. They must be provided as an integral part of the international application either:
 - in PDF format as part of an international application filed in electronic form via EFS-Web; or
 - on paper as part of an international application filed on paper.
- B) A sequence listing forming part of an international application may be provided either:
 - in electronic form, as part of an international application filed in electronic form via EFS-Web, in
 - Annex C/ST.25 text format (preferred), or
 - PDF format; or
 - on paper as part of an international application filed on paper.
- C) A sequence listing not forming part of the international application (for search under PCT Rule 13ter) in Annex C/ST.25 text format
 - is not required where the sequence listing forming part of the international application was filed in Annex C/ST.25 text format as part of an international application filed in electronic form via EFS-Web
 - is required for search where the sequence listing forming part of the international application was filed in PDF
 - is required for search on physical medium (e.g., CD) where the sequence listing forming part of the international application was filed on paper as part of an international application filed on paper.

II. CALCULATION OF THE INTERNATIONAL FILING FEE AND FEE REDUCTION UNDER A1 § 707

- A) A sequence-related table must form an integral part of the international application and will incur FULL page fees with no upper limit.
- B) A sequence listing forming part of an international application filed:
 - via EFS-Web in Annex C/ST.25 text format will incur NO page fees;
 - on paper or in PDF format will incur FULL page fees with no upper limit.

III. AVAILABILITY OF SEQUENCE LISTINGS SUBMITTED FOR SEARCH UNDER PCT RULE 13TER

International Searching Authorities will be required to transmit to the International Bureau a copy of an Annex C/ST.25 text format sequence listing provided for search under PCT Rule 13ter. Any such sequence listing will be made available on PATENTSCOPE® (sequence listings forming part of the international application are already available).

IV. JULY 2009 REQUEST (PCT/RO/101)

The Request now has two options for the last sheet: one for paper filings; and one for EFS-Web filings. The July 2009 Request may be accessed at http://www.wipo.int/pct/en/forms/index.htm.